

# SGSS GALLERY



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New features

BUILDING TEAM SPIRIT TOGETHER

# EXECUTIVE SUMMARY

This document presents **SGSS GALLERY**'s new features available since March 9th, 2015.

You will find details of new features to your services, including the management of your portfolio scheduled reports.

There are also important developments facilitating communication, including a new space which is now available from your homepage. You will find information dedicated to your own services as well as "post-trades" information, technical guides and a dedicated area to find all your user guides.

Do not hesitate to contact us for any questions or suggestions for improvements. You will find our contact list on the last page of this document.

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# CUSTODY SERVICE (« Custody »)

## 1. Portfolio

### a. New screen more ergonomic to select your criteria: *ONLINE REPORTS / Securities / Portfolio*

Intuitive selection criteria and harmonized with your cash statements and contract notes menus:

- A new account selector with auto-completion functionality
- Advanced criteria are grouped if you need to refine your search.

The screenshot displays the 'Criteria' selection interface for the 'ONLINE REPORTS / Securities / Portfolio' menu. The interface is organized into several sections:

- Criteria:** Includes a 'Date' section with an 'Accounting date' field set to '05/03/2015' and a 'Yesterday' dropdown.
- Accounts:** Features an 'Accounts' section with 'Available account(s)' and 'Selected account(s)' tables. Each table has columns for 'Number' and 'Name' and a 'Reset' button.
- For securities:** Includes options for 'By depositary', 'By availability status', and 'Detail of securities to deliver to receive', each with 'Yes' and 'No' radio buttons.
- Asset(s):** Includes a 'Level of assets presentation' dropdown set to 'Sub-family 1', and a 'Class of assets' section with a tree view containing items like 'Stocks and shares', 'ordinary stocks', 'specific stocks', 'registered shares', 'Bonds and rights', 'Share certificat', and 'Cheques'.
- Advanced criteria:** A section at the bottom with 'Save Selection', 'Search', and 'Reset' buttons.

## Synthesis

### **b. Schedule your reports online: *SCHEDULED REPORT SETUP / Creation / Portfolio***

You can quickly and easily create a scheduled report which contains the portfolio report with the selection criteria that you set. To do this, access the **SCHEDULED REPORT SETUP / Creation / Portfolio** menu and follow the instructions.

### **c. Manage your scheduled reports online: *SCHEDULED REPORT SETUP / Administration / Portfolio***

Scheduled reports that you create are directly manageable from the **SCHEDULED REPORT SETUP / Administration / Portfolio** menu, including your scheduled portfolio reports previously created. They are fully manageable by yourself: you will gain productivity and operational efficiency.

You can edit the list of accounts of your scheduled report, the list of recipients or the report format. To maintain consistency, we have kept the same processes and screens from cash statements and contract notes menus.

### **d. Adaptation of SGSS activities due to EMIR regulation**

EMIR regulation implies significant changes in the operational setup linked to derivatives management within SGSS.

#### **i. Interactive reports and exports**

For OTC types IRS (Interest Rate Swap), CDS (Credit Default Swap) and CDX, new data are displayed on your interactive screens. These new data are also available in your export files.

Cash collateral positions out of SGSS books are now reported in your portfolios.



Account number	Clearing	BIC Clearer	BIC CCP	Counterparty BIC	Currency	Balance in account currency	Balance Date	Balance
FR763	Yes	MIDLGB22	LCHLGB2L		EUR	7 187,48	08/12/2014	7 187,48
FR763	Yes	MIDLGB22	LCHLGB2L		USD	0,00	08/12/2014	0,00

## Synthesis

### ii. Securities transaction monitoring

New data are available in the interactive display:

Result list <a href="#">New search</a>			
Securities account :	30003-0566000080956029-LBL-24833		
Cash account :	-		
<b>TRANSACTION</b>			
Operation description	Out FOP collat Recall	Input date	06/10/2014 - 1:14 PM
Status	Instruction under processing	Reason code	-
Cancellation request	-	Nature of instruction	INSTRUCTION
Last market information			
Status	-	Status	-
Reason code	-	Reason code	-
Reason code	-	Reason code	-
Reason code	-	Reason code	-
Client reference	SBA_53673-130a		
SG reference	25676616-A001	Cross reference	-
Market reference	-		
Creation date	06/10/2014 1:14 PM	Contractual settlement date	02/10/2014
Trade date	02/10/2014	Actual settlement date	-
Security code	US4592001014 - ISIN	Stock market	NYSE EURONEXT ( 065 )
Security name	IBM	Securities type	Equity
Form	Bearer	Local custodian	BBH BOSTON
Quantity	15	Amount	-
Collateralisation	no		
Linked to a derivative contract	Y	Type	CCPC
BIC Clearer	FNBBBRBR	BIC CCP	UWCBUS6LXXX
BIC Counterparty	-		
Equalization	-		
End date	-	Return date	-
Minimum fees	-	Interest rate	-
Interest data base	-		
Settlement system	BBH BOSTON		

These data are also integrated in your export files. They are added in the last columns in order not to disrupt your automatic file integrations.

## 2. Corporate Actions: documents validation

This upgrade relates to voluntary CA for both equities and bonds. The target is SGSS' institutional clients holding a global custody account in France, Germany, Ireland, Luxembourg and in the UK. Implementation is planned in March 2015.

Documents provided by the issuer of a CA will be available online on **SGSS GALLERY**. Those documents will be published in their original version and language. Subject to the below conditions, SGSS will give you access to documents on CA for which you own securities.

After having digitally accepted the terms and conditions, you will be able to access those documents. Just prior to accessing those documents, you will be requested to certify that you are not domiciled or located in a country where regulation or the issuer do not authorise the distribution of such document or participation in such an action and to approve that the issuer is solely responsible for the content of the information made available.

## Synthesis

Option number	998
Default option	No
Option type	LAPSE
Fraction type	-
Currency	-
Response deadline date (GMT+01)	16/09/2013 10:00:00
Market deadline date	18/09/2013
Validity period starting date	09/09/2013
Validity period ending date	18/09/2013

**MESSAGE**

IMPORTANT NOTICE: THIS CORPORATE ACTION MAY, IN CERTAIN JURISDICTIONS, BE SUBJECT TO SPECIFIC REGULATIONS. HOLDERS SHOULD FAMILIARIZE THEMSELVES AND COMPLY WITH ANY LOCAL RESTRICTIONS. WE DO NOT ASSUME ANY RESPONSIBILITY FOR ANY VIOLATION BY ANY PERSON OF ANY RESTRICTIONS. FURTHER DETAILS REGARDING THE RESTRICTIONS ARE AVAILABLE ON THE OFFER PROSPECTUS (DOCUMENT AVAILABLE UPON REQUEST). FEE : HKD 0,80 PER BOARD LOT

RESTRICTIONS : THERE MAY BE COUNTRY SPECIFIC LEGAL RESTRICTIONS, INVESTORS SHOULD CONSULT THEIR ADVISERS, LAWYERS, BROKERS REGARDING SUCH RESTRICTIONS.

INFORMATION DATED SEPTEMBER 17,2013:  
OFFICIAL ISIN CODE OF RIGHTS ANNOUNCED

**DOCUMENTS**

[↓.PDF AU0000000BHP4\\_20141009\\_MEMORANDUM\\_IT\\_001.PDF](#)

[↓.PDF AU0000000BHP4\\_20141009\\_CLIENT-DOC\\_EN\\_001.PDF](#)

[↓.PDF AU0000000BHP4\\_20141009\\_CLIENT-DOC\\_EN\\_002.PDF](#)

The following information will be available online:

- Documents available for SGSS and their possible further updates,
- Additional documents if available.

Should you additional details, please feel free to contact our CA Clients' Support Desk by sending an email to [service.corpactdeskqueries@sgss.socgen.com](mailto:service.corpactdeskqueries@sgss.socgen.com).

# FUND ADMINISTRATION SERVICE (“*FUND ADMIN*”)

## 1. Creation of customised reports

A new powerful tool to create customised reports is available.

- Either we make it available for you to create reports your yourselves
- Either we can quickly and by a simple setup create these reports and make them available in dedicated menus.

These reports take advantage of new functions, such as sorting, moving and grouping columns. All newly created reports will benefit from these functionalities, as well as your existing reports.

**Univers Menu 1**

Query Name \* Selected query name

**Criteria**

**Result**

Schedule the report 5

PDF HTML XLS CSV XML TXT 3

Security account name	Trade date	Cancellation indicator	Transaction entry side	Transaction name	ISIN code	Security type	Quantity	Quantity format
LA MONDIALE PARTENARIAT	2013/12/30		PURCHASE	DELIVERY A/PAYMENT	FR0011572801	Private sector bonds	14,00000	UNIT
LA MONDIALE PARTENARIAT	2014/01/08		SAL			Private sector bonds	142,00000	UNIT
LA MONDIALE PARTENARIAT	11/09 1		SAL			Private sector bonds	22,00000	UNIT
LA MONDIALE PARTENARIAT	2014/01/03		PUR			Private sector bonds	122,00000	UNIT
LA MONDIALE PARTENARIAT	2014/01/03		SAL	A/PAYMENT		Private sector bonds	161,00000	UNIT
LA MONDIALE PARTENARIAT	2014/01/02		SALE	DELIVERY A/PAYMENT	FR0011572801	Private sector bonds	13,00000	UNIT

Sort ascending  
Sort descending  
Clear all sorting  
Show/Hide columns  
Export  
Schedule the report 2

Page size: 10 4

842 items in 85 pages

Save Restore display settings

You will also be able to share your queries with your colleagues.

Contact your usual client service manager to give you access to these new capabilities, and have a look at the related detailed communication pack in the “technical library” section.

# Synthesis

## 2. AIFMD: validation screen for consolidated reports for Asset Managers

Within the framework of the R303 service offer dedicated to AIFMD reports, a new user validation module for reports for asset managers has been integrated into **SGSS GALLERY** for company validation.

The list of documents to be validated can be found in the user menu **AIFMD - REPORTING VALIDATION / Consolidated Validation**, where you'll find the list of documents to validate:

Select all your companies simultaneously

Visualise company's report before submitting

Submit or refuse your company(s) report(s)

Visualise your company's report before submitting:

AIF file : Article 3 (3)(d) and Article 24 of Directive 2011/61/EU		
AIF-specific information to be provided (Article 3(3)(d) and Article 24(1) of Directive 2011/61/EU)		
Nr.	Data Type	Reported Data
AIF - Header file		
1	Reporting Member state	FR
2	Version	1.2
3	Creation date and time of the file	2015-02-12T03:14:00
AIF - Header selection		
4	Filing type	AMND
5	AIF Content type	2
6	Reporting period start date	2014-10-01
7	Reporting period end date	2014-12-31
8	Reporting period type	Q4
9	Reporting period year	2014
10	Change in AIF reporting obligation frequency Code	
11	Change in AIF reporting obligation contents Code	
12	Change in AIF reporting obligation Quarter	
13	Last reporting flag	false

Overview of your company's report

When you want to have your report changed ( **Refuse** button), a comment screen allows you input the reason for your refusal. This screen should be filled in with as much detail as possible to facilitate processing.

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Securities Services

SGSS GALLERY  
Fund Administration

SERVICES MY PROFILE

Alternative Investment Fund Managers Directive - Consolidated - Validation

Company	Report Type	Date	File
<input checked="" type="checkbox"/>	AIFM_REPORT_CONS	27/02/2015	AIFM_SDG118_20141231_20150220040...

Submit Refuse

Comments

Please motivate

Submit Cancel

The list of all documents provided for AIFMD can be found in the “DOCUMENTS/AIFMD – Consolidated” menu, after you filtered by the chosen company.

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Securities Services

SGSS GALLERY  
Fund Administration

SERVICES MY PROFILE

Alternative Investment Fund Managers Directive - Consolidated Document for

- AIFMD - consolidated
  - Consolidated AIF Report
  - 27/02/2015 - English

Cancel

## Questions, remarks?

	France	<a href="mailto:contact-france-sgssgallery@sgss.socgen.com">contact-france-sgssgallery@sgss.socgen.com</a>
	Luxembourg	<a href="mailto:contact-luxembourg-sgssgallery@sgss.socgen.com">contact-luxembourg-sgssgallery@sgss.socgen.com</a>
	Germany	<a href="mailto:contact-germany-sgssgallery@sgss.socgen.com">contact-germany-sgssgallery@sgss.socgen.com</a>
	Spain	<a href="mailto:contact-spain-sgssgallery@sgss.socgen.com">contact-spain-sgssgallery@sgss.socgen.com</a>
	Ireland	<a href="mailto:contact-ireland-sgssgallery@sgss.socgen.com">contact-ireland-sgssgallery@sgss.socgen.com</a>
	Italy	<a href="mailto:contact-italy-sgssgallery@sgss.socgen.it">contact-italy-sgssgallery@sgss.socgen.it</a>
	United-Kingdom	<a href="mailto:contact-uk-sgssgallery@sgss.socgen.com">contact-uk-sgssgallery@sgss.socgen.com</a>
	Romania	<a href="mailto:contact-romania-sgssgallery@brd.ro">contact-romania-sgssgallery@brd.ro</a>

## YOUR **SGSS GALLERY** WEBPORTAL GIVES YOU ONLINE STEERING OF YOUR BUSINESS



On the site you have a global view of your business and this helps you avoid any mishaps. You have precise control over your information enabling you to better manage your business and information exchanges with SGSS. **SGSS GALLERY** is designed to give you a summary or detailed intuitive access to your information through modules adapted to your needs.

On the website you can customize the display of your key reports, initiate transactions, validate actions and look up at historical data.



### 1. Easy access to post-trade information

- A secure single sign on
- Useful information on securities custody and fund management
- Access to market information (December 2014)
- A high level of customer satisfaction



### 2. Pilot your information

- Access to all your data wherever you are
- Customize the layout of your information
- 24 X 7 access to your reports
- On your PC, laptop or tablet



### 3. Drive your business

- Download your custom reports
- Integrate data directly into your information system
- Transactional features
- Event alerts
- Online validations
- Total tracking of all your securities transactions



### 4. A team in the pits to support you

- Relevant online assistance
- Tutorials
- A support team at your disposal
- Assistance to help you carry out your regulatory obligations
- On all SGSS markets

Now, more than ever, whatever activities you are working on with SGSS, you have precise control over your information. It is available online, or can be custom sent to your servers or the recipients of your choice. You can perform a wide range of banking transactions, validations and instructions.

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